



Office of the
Medicaid Inspector
General

ANDREW M. CUOMO
Governor

DENNIS ROSEN
Medicaid Inspector General

June 29, 2016

[REDACTED]
Hendon Garden Nursing and Rehabilitation Center
(aka Bishop Charles Waldo Maclean Episcopal Nursing Home)
17-11 Brookhaven Avenue
Far Rockaway, New York 11691

Re: MDS Final Audit Report
Audit #: 13-4844
Provider ID#: [REDACTED]

Dear [REDACTED]

This is the final audit report of findings with regard to the Office of the Medicaid Inspector General's (OMIG) Minimum Data Set (MDS) audit of Hendon Garden Nursing and Rehabilitation Center (aka Bishop Charles Waldo Maclean Episcopal Nursing Home) for the census period ending January 25, 2012. In accordance with 18 NYCRR §517.6, this final audit report represents the OMIG's final determination on issues found during OMIG's review.

Since you did not respond to our draft audit report dated September 9, 2015, the findings in the final audit report remain identical to the draft audit report.

The Medicaid overpayment of \$53,854.32 was calculated using the number of Medicaid days paid for the rate period July 1, 2012 through December 31, 2012 and the change in the direct component of your Medicaid rate as calculated by the Department of Health's Bureau of Long Term Care Reimbursement (BLTCR). The calculation of this overpayment is detailed in Attachment A. BLTCR will adjust your Medicaid rates for the relevant rate period to reflect the change in the direct component. The findings explanation, regulatory references, and applicable adjustment can be found in the attachments following Attachment A.

You have the right to challenge this action and determination by requesting an administrative hearing within sixty (60) days of the date of this notice. In accordance with 18 NYCRR §519.18(a), "The issues and documentation considered at the hearing are limited to issues directly relating to the final determination. An appellant may not raise issues regarding the methodology used to determine any rate of payment or fee, nor raise any new matter not considered by the department upon submission of objections to a draft audit or notice of proposed agency action." You may only request a hearing to challenge specific audit adjustments which you challenged in a response to the draft audit report.

If you wish to request a hearing, the request must be submitted in writing to:

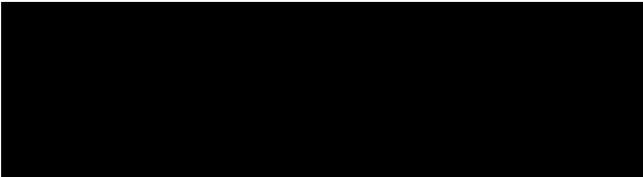
General Counsel
Office of Counsel
New York State Office of the Medicaid Inspector General
800 North Pearl Street
Albany, New York 12204

Questions regarding the request for a hearing should be directed to the Office of Counsel, at [REDACTED]

Pursuant to 18 NYCRR §519.18, at the hearing you have the right to:

- (a) request the department to reschedule the hearing (adjournment);
- (b) be represented by an attorney, or other representative, or to represent himself/herself;
- (c) have an interpreter, at no charge, if the appellant does not speak English or is deaf and cannot afford one (the appellant must advise the department prior to the hearing if an interpreter will be needed);
- (d) produce witnesses and present written and/or oral evidence to explain why the action taken was wrong;
- (e) cross-examine witnesses of the department.

Should you have any questions regarding the above, please contact [REDACTED]
[REDACTED].



Division of Medicaid Audit
Office of the Medicaid Inspector General

[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

OFFICE OF THE MEDICAID INSPECTOR GENERAL
HENDRON GARDEN NURSING AND REHABILITATION CENTER
AUDIT # 13-4844
CALCULATION OF AUDIT IMPACT

RATE TYPE	DECREASED IN DIRECT COMPONENT OF RATE*	MEDICAID DAYS	IMPACT
Part B Eligible/Part B D Eligible	\$3.85	13,458	\$51,813.30
Non-Medicare/Part D Eligible	\$3.91	522	\$2,041.02
Total			<u>\$53,854.32</u>

*Rounded to nearest 1/100th New York State Department of Health Bureau of Managed Long Term
Care Rate Setting / FFS

**OFFICE OF THE MEDICAID INSPECTOR GENERAL
HENDON GARDEN NURSING AND REHABILITATION CENTER
AUDIT #13-4844
MDS DETAILED FINDINGS**

MDS FINDINGS**SAMPLE SELECTION****Cognitive Pattern**

If the provider is unable to produce the supporting documentation for the patient, the service will be disallowed and the Case Mix will be adjusted. A repayment schedule will be developed.

Documentation must indicate the residents' ability to remember both recent and long past events and to think coherently. MDS 3.0 manual guidelines will be followed when examining the medical records.

*42 CFR §483.20 (b) (xvii)
MDS 3.0 Manual 1.1-2.15*

*42 CFR §483.20 (b) (xvii)
MDS 3.0 Manual C0100-C1600*

In 1 instance, documentation did not support staff assessment for impaired cognition. 12

Functional Status-ADL Self-Performance and Support

If the provider is unable to produce the supporting documentation for the patient, the service will be disallowed and the Case Mix will be adjusted. A repayment schedule will be developed.

Documentation must indicate an assessment was done to evaluate the need for assistance with activities of daily living (ADL's), altered gait and balance, and decreased range of motion (ROM). MDS 3.0 manual guidelines will be followed when examining the medical records.

*42 CFR §483.20 (b) (xvii)
MDS Manual 3.0 G0100-0900*

Bed Mobility Self-Performance

In 5 instances, documentation did not support resident required total assist every time. 4, 10, 20, 26, 29

In 1 instance, documentation did not support resident required weight bearing assist three or more times.	27
In 2 instances, documentation did not support resident required non weight bearing assist three or more times.	25, 28
<u>Bed Mobility Support Provided</u>	
In 2 instances, documentation did not support resident was a 2+ person physical help at least once.	20, 35
In 2 instances, documentation did not support resident was a one person physical help at least once.	25, 28
<u>Transfer Self-Performance</u>	
In 6 instances, documentation did not support resident required total assist every time.	4, 10,15, 20, 26, 29
In 1 instance, documentation did not support resident required weight bearing assist three or more times.	27
In 2 instances, documentation did not support resident required non weight bearing assist three or more times.	25, 28
In 1 instance, documentation did not support resident required supervision one or more times.	39
<u>Transfer Support Provided</u>	
In 3 instances, documentation did not support resident was a 2+ person physical help at least once.	10, 20, 35
In 2 instances, documentation did not support resident was a one (1) person physical help at least once.	25, 28
<u>Eating Self-Performance</u>	
In 3 instances, documentation did not support resident required total assist every time.	10, 20, 29
<u>Toilet Use Self-Performance</u>	
In 6 instances, documentation did not support resident required total assist every time.	4, 10, 20, 26, 29, 35
In 1 instance, documentation did not support resident required weight bearing assist three or more times.	19

In 1 instance, documentation did not support resident required non weight bearing assist three or more times. 25

Toilet Use Support Provided

In 3 instances, documentation did not support resident was a one person physical help at least once. 20, 25, 26

Active Disease Diagnosis

If the provider is unable to produce the supporting documentation for the patient, the service will be disallowed and the Case Mix will be adjusted. A repayment schedule will be developed.

Documentation must indicate the diseases coded relate to the resident's functional, cognitive, mood or behavior status, medical treatments, nursing monitoring, or risk of death. MDS 3.0 manual guidelines will be followed when examining the medical records.

42 CFR §483.20 (b) (xvii)
MDS Manual 3.0 I0100-I8000

In 1 instance, documentation did not support Hemiplegia/Hemiparesis as a physician documented diagnosis in the past 60 days. 30

Swallowing/Nutritional Status

If the provider is unable to produce the supporting documentation for the patient, the service will be disallowed and the Case Mix will be adjusted. A repayment schedule will be developed.

Documentation must indicate an assessment of conditions that could affect the residents' ability to maintain adequate nutrition and hydration. MDS 3.0 manual guidelines will be followed when examining the medical records.

42 CFR §483.20 (b) (xvii)
MDS 3.0 Manual K0100-0700

In 1 instance, documentation did not support feeding tube with requirement of $\geq 51\%$ calories, or 26% - 50% calories with ≥ 501 cc of fluids. 20

Skin Conditions

If the provider is unable to produce the supporting documentation for the patient, the service will be disallowed and the Case Mix will be adjusted. A repayment schedule will be developed.

Documentation must indicate an assessment of the documented risk, presence, appearance and change of pressure ulcers. This section also notes other skin ulcers, wounds, or lesions, and documents treatment categories related to skin injury or avoiding injury. MDS 3.0 manual guidelines will be followed when examining the medical records.

42 CFR §483.20 (b) (xvii)
MDS 3.0 Manual M0100-1200

In 2 instances, documentation did not support surgical wounds or open lesions.	25, 29
In 1 instance, documentation did not support surgical wound care	25
In 2 instances, documentation did not support the application of ointments/medications.	25, 29
In 1 instance, documentation did not support the application of dressing(s).	29

Special Treatments, Procedures, and Programs

If the provider is unable to produce the supporting documentation for the patient, the service will be disallowed and the Case Mix will be adjusted. A repayment schedule will be developed.

The intent of the items in this section is to identify any special treatments, procedures, and programs that the resident received during the specific time periods. MDS 3.0 manual guidelines will be followed when examining the medical records.

42 CFR §483.20 (b) (xv)
MDS 3.0 Manual O0100-0300, O0600-0700

In 1 instance, documentation did not support a drug or biological given by intravenous push, epidural pump, or drip through a central line or peripheral port during the look back period.	15
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Skilled Therapy

If the provider is unable to produce the supporting documentation for the patient, the service will be disallowed and the Case Mix will be adjusted. A repayment schedule will be developed.

The qualified therapist, in conjunction with the physician and nursing staff, is responsible for determining the necessity for and the frequency and duration of the therapy provided to residents. Rehabilitation (i.e., via Speech-Language Services, and Occupational and Physical Therapies) and respiratory, psychological, and recreational therapy helps the residents to attain or maintain their highest level of well-being and improve their quality of life. MDS 3.0 manual guidelines will be followed when reviewing the documentation provided by the facility.

42 CFR §483.20 (b) (xvii)
MDS 3.0 Manual O0400-0500

Speech-Language Pathology

In 1 instance, documentation reflected incorrect individual/concurrent/group minutes.	38
In 1 instance, documentation reflected incorrect days.	38
In 2 instances, documentation did not support evaluation/reassessment for therapy.	38, 40
In 1 instance, documentation did not support an order for therapy.	40
In 1 instance, documentation did not support medical necessity for therapy and/or therapy was not reasonable for resident condition.	40

Occupational Therapy

In 1 instance, documentation did not support resident received occupational therapy during the 7 day look back.	39
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Physical Therapy

In 1 instance, documentation did not support evaluation/reassessment for therapy.	40
In 1 instance, documentation did not support an order for therapy.	7

In 1 instance, documentation did not support medical necessity for therapy and/or therapy was not reasonable for resident condition. 40

RUGS-II Classifications Overturned

In 14 instances, the RUG classifications were overturned. 7, 10, 12, 15, 19, 20, 25, 27, 28, 29, 35, 38, 39, 40

10 NYCRR §86-2.10, Volume A-2