



Office of the
Medicaid Inspector
General

ANDREW M. CUOMO
Governor

DENNIS ROSEN
Medicaid Inspector General

June 29, 2016

[REDACTED]
Hornell Gardens, LLC
434 Monroe Avenue
Hornell, New York 14843

Re: MDS Final Audit Report
Audit #: 13-2435
Provider ID#: [REDACTED]

Dear [REDACTED]

This is the final audit report of findings with regard to the Office of the Medicaid Inspector General's (OMIG) Minimum Data Set (MDS) audit of Hornell Gardens, LLC for the census period ending January 25, 2012. In accordance with 18 NYCRR §517.6, this final audit report represents the OMIG's final determination on issues found during OMIG's review.

Since you did not respond to our draft audit report dated September 23, 2015, the findings in the final audit report remain identical to the draft audit report.

The Medicaid overpayment of \$11,869.64 was calculated using the number of Medicaid days paid for the rate period July 1, 2012 through December 31, 2012 and the change in the direct component of your Medicaid rate as calculated by the Department of Health's Bureau of Long Term Care Reimbursement (BLTCR). The calculation of this overpayment is detailed in Attachment A. BLTCR will adjust your Medicaid rates for the relevant rate period to reflect the change in the direct component. The findings explanation, regulatory references, and applicable adjustment can be found in the attachments following Attachment A.

You have the right to challenge this action and determination by requesting an administrative hearing within sixty (60) days of the date of this notice. In accordance with 18 NYCRR §519.18(a), "The issues and documentation considered at the hearing are limited to issues directly relating to the final determination. An appellant may not raise issues regarding the methodology used to determine any rate of payment or fee, nor raise any new matter not considered by the department upon submission of objections to a draft audit or notice of proposed agency action." You may only request a hearing to challenge specific audit adjustments which you challenged in a response to the draft audit report.

If you wish to request a hearing, the request must be submitted in writing to:

General Counsel
Office of Counsel
New York State Office of the Medicaid Inspector General
800 North Pearl Street
Albany, New York 12204

[REDACTED]

Questions regarding the request for a hearing should be directed to the Office of Counsel, at [REDACTED].

Pursuant to 18 NYCRR §519.18, at the hearing you have the right to:

- (a) request the department to reschedule the hearing (adjournment);
- (b) be represented by an attorney, or other representative, or to represent himself/herself;
- (c) have an interpreter, at no charge, if the appellant does not speak English or is deaf and cannot afford one (the appellant must advise the department prior to the hearing if an interpreter will be needed);
- (d) produce witnesses and present written and/or oral evidence to explain why the action taken was wrong;
- (e) cross-examine witnesses of the department.

Should you have any questions regarding the above, please contact [REDACTED].
[REDACTED]

[REDACTED]

Division of Medicaid Audit
Office of the Medicaid Inspector General

[REDACTED]

[REDACTED]

[REDACTED]

OFFICE OF THE MEDICAID INSPECTOR GENERAL
HORNELL GARDENS
AUDIT # 13-2435
CALCULATION OF AUDIT IMPACT

RATE TYPE	DECREASED IN DIRECT COMPONENT OF RATE*	MEDICAID DAYS	IMPACT
Part B Eligible/Part B D Eligible	\$0.77	15,213	\$11,714.01
Non-Medicare/Part D Eligible	\$0.79	197	\$155.63
Total			<u>\$11,869.64</u>

*Rounded to nearest 1/100th New York State Department of Health Bureau of Managed Long Term
Care Rate Setting / FFS

OFFICE OF THE MEDICAID INSPECTOR GENERAL
 HORNELL GARDENS
 AUDIT #13-2435
 FINDINGS BY SAMPLE NUMBER

Sample #	Reported RUG	Derived RUG	Reported RUG Weight	Derived RUG Weight	DETAILED FINDINGS				
					DISALLOW BED MOBILITY SELF PERFORMANCE	DISALLOW BED MOBILITY SUPPORT PROVIDED	DISALLOW TRANSFER SELF PERFORMANCE	DISALLOW EATING SELF PERFORMANCE	DISALLOW TOILET USE SELF PERFORMANCE
1	RMB	RMB	1.22	1.22					
2	RMA	RMA	1.17	1.17					
3	IA2	IA2	0.65	0.65					
4	PA2	PA2	0.48	0.48					
5	RMA	RMA	1.17	1.17					
6	RMC	RMC	1.27	1.27					
7	RMB	RMB	1.22	1.22					
8	PC2	PC2	0.67	0.67					
9	PA1	PA1	0.46	0.46					
10	PE2	PE2	0.80	0.80					1
11	RHC	RMA	1.40	1.17	1	1	1	1	1
12	PE1	PE1	0.79	0.79					
13	IA1	IA1	0.61	0.61					
14	PA1	PA1	0.46	0.46					
15	RMA	RMA	1.17	1.17					
16	RMB	RMB	1.22	1.22					
17	RMA	RMA	1.17	1.17					
18	RMA	RMA	1.17	1.17					
19	PA1	PA1	0.46	0.46					

OFFICE OF THE MEDICAID INSPECTOR GENERAL
 HORNE LL GARDENS
 AUDIT #13-2435
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					DISALLOW BED MOBILITY SELF PERFORMANCE	DISALLOW BED MOBILITY SUPPORT PROVIDED	DISALLOW TRANSFER SELF PERFORMANCE	DISALLOW EATING SELF PERFORMANCE	DISALLOW TOILET USE SELF PERFORMANCE
20	PE2	PE2	0.80	0.80					
21	IA2	IA2	0.65	0.65					
22	RMA	RMA	1.17	1.17					
23	PA1	PA1	0.46	0.46					
24	RMA	RMA	1.17	1.17					
TOTALS					<u>1</u>	<u>1</u>	<u>1</u>	<u>1</u>	<u>2</u>

OFFICE OF THE MEDICAID INSPECTOR GENERAL
 HORNELL GARDENS
 AUDIT #13-2435
 MDS DETAILED FINDINGS

MDS FINDINGS

SAMPLE SELECTION

Functional Status-ADL Self-Performance and Support

If the provider is unable to produce the supporting documentation for the patient, the service will be disallowed and the Case Mix will be adjusted. A repayment schedule will be developed.

Documentation must indicate an assessment was done to evaluate the need for assistance with activities of daily living (ADL's), altered gait and balance, and decreased range of motion (ROM). MDS 3.0 manual guidelines will be followed when examining the medical records.

42 CFR §483.20 (b) (xvii)
MDS Manual 3.0 G0100-0900

Bed Mobility Self-Performance

In 1 instance, documentation did not support resident required non weight bearing assist three or more times. 11

Bed Mobility Support Provided

In 1 instance, documentation did not support resident was a one person physical help at least once. 11

Transfer Self-Performance

In 1 instance, documentation did not support resident required weight bearing assist three or more times. 11

Eating Self-Performance

In 1 instance, documentation did not support resident required non weight bearing assist three or more times. 11

Toilet Use Self-Performance

In 1 instance, documentation did not support resident required total assist every time. 10

In 1 instance, documentation did not support resident required weight bearing assist three or more times. 11

RUGS-II Classifications Overturned

In 1 instance, the RUG classifications were overturned. 11

10 NYCRR §86-2.10, Volume A-2