



Office of the
Medicaid Inspector
General

ANDREW M. CUOMO
Governor

DENNIS ROSEN
Medicaid Inspector General

July 26, 2016

[REDACTED]
Port Chester Nursing and Rehabilitation Centre
1000 High Street
Port Chester, New York 10573

Re: MDS Final Audit Report
Audit #: 13-4886
Provider ID#: [REDACTED]

Dear [REDACTED]

This is the final audit report of findings with regard to the Office of the Medicaid Inspector General's (OMIG) Minimum Data Set (MDS) audit of Port Chester Nursing and Rehabilitation Centre for the census period ending January 25, 2012. In accordance with 18 NYCRR §517.6, this final audit report represents the OMIG's final determination on issues found during OMIG's review.

Since you did not respond to our draft audit report dated October 29, 2015, the findings in the final audit report remain identical to the draft audit report.

The Medicaid overpayment of \$21,885.78 was calculated using the number of Medicaid days paid for the rate period July 1, 2012 through December 31, 2012 and the change in the direct component of your Medicaid rate as calculated by the Department of Health's Bureau of Long Term Care Reimbursement (BLTCR). The calculation of this overpayment is detailed in Attachment A. BLTCR will adjust your Medicaid rates for the relevant rate period to reflect the change in the direct component. The findings explanation, regulatory references, and applicable adjustment can be found in the attachments following Attachment A.

You have the right to challenge this action and determination by requesting an administrative hearing within sixty (60) days of the date of this notice. In accordance with 18 NYCRR §519.18(a), "The issues and documentation considered at the hearing are limited to issues directly relating to the final determination. An appellant may not raise issues regarding the methodology used to determine any rate of payment or fee, nor raise any new matter not considered by the department upon submission of objections to a draft audit or notice of proposed agency action." You may only request a hearing to challenge specific audit adjustments which you challenged in a response to the draft audit report.

If you wish to request a hearing, the request must be submitted in writing to:

General Counsel
Office of Counsel
New York State Office of the Medicaid Inspector General
800 North Pearl Street
Albany, New York 12204

Questions regarding the request for a hearing should be directed to the Office of Counsel, at [REDACTED]

Pursuant to 18 NYCRR §519.18, at the hearing you have the right to:

- (a) request the department to reschedule the hearing (adjournment);
- (b) be represented by an attorney, or other representative, or to represent himself/herself;
- (c) have an interpreter, at no charge, if the appellant does not speak English or is deaf and cannot afford one (the appellant must advise the department prior to the hearing if an interpreter will be needed);
- (d) produce witnesses and present written and/or oral evidence to explain why the action taken was wrong;
- (e) cross-examine witnesses of the department.

Should you have any questions regarding the above, please contact [REDACTED]
[REDACTED]

[REDACTED]

Division of Medicaid Audit
Office of the Medicaid Inspector General

[REDACTED]

OFFICE OF THE MEDICAID INSPECTOR GENERAL
 PORT CHESTER NURSING AND REHABILITATION CENTRE
 AUDIT # 13-4886
 CALCULATION OF AUDIT IMPACT

RATE TYPE	DECREASED IN DIRECT COMPONENT OF RATE*	MEDICAID DAYS	IMPACT
Part B Eligible/Part B D Eligible	\$1.07	20,285	\$21,704.95
Non-Medicare/Part D Eligible	\$1.07	169	\$180.83
Total			\$21,885.78

*Rounded to nearest 1/100th New York State Department of Health Bureau of Managed Long Term Care Rate Setting / FFS

OFFICE OF THE MEDICAID INSPECTOR GENERAL
 PORT CHESTER NURSING AND REHABILITATION CENTRE
 AUDIT #13-4886
 FINDINGS BY SAMPLE NUMBER

Sample #	[REDACTED]	Reported RUG	Derived RUG	Reported RUG Weight	Derived RUG Weight	DETAILED FINDINGS			
						DISALLOW TRANSFER SUPPORT PROVIDED	DISALLOW SPECIAL TREATMENTS, PROCEDURES	DISALLOW OCCUPATION THERAPY	DISALLOW PHYSICAL THERAPY
1	[REDACTED]	PC1	PC1	0.66	0.66				
2	[REDACTED]	PA1	PA1	0.46	0.46				
3	[REDACTED]	RMC	RMC	1.27	1.27				
4	[REDACTED]	CB1	PD1	0.86	0.72	1			
5	[REDACTED]	RMC	RMC	1.27	1.27				
6	[REDACTED]	CC1	CC1	0.98	0.98				
7	[REDACTED]	RVB	RMB	1.39	1.22		1		
8	[REDACTED]	PE1	PE1	0.79	0.79				
9	[REDACTED]	RMB	RMB	1.22	1.22				
10	[REDACTED]	RMC	RMC	1.27	1.27				
11	[REDACTED]	RHC	RMB	1.40	1.22		1		
12	[REDACTED]	RHC	RHC	1.40	1.40				
13	[REDACTED]	RHC	RHC	1.40	1.40				
14	[REDACTED]	SSC	SSC	1.12	1.12				
15	[REDACTED]	RMC	RMC	1.27	1.27				

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						DISALLOW TRANSFER SUPPORT PROVIDED	DISALLOW SPECIAL TREATMENTS, PROCEDURES	DISALLOW OCCUPATION THERAPY	DISALLOW PHYSICAL THERAPY
16		RMC	RMC	1.27	1.27				
17		SSC	SSB	1.12	1.06	1			
18		RHC	RHC	1.40	1.40				
19		SSB	SSB	1.06	1.06				
20		SSC	SSC	1.12	1.12				
21		CC1	CC1	0.98	0.98				
22		RMA	RMA	1.17	1.17				
23		RMX	RMX	1.96	1.96				
24		PE1	PE1	0.79	0.79				
25		PA1	PA1	0.46	0.46				
26		PA1	PA1	0.46	0.46				
27		RMC	RMC	1.27	1.27				
28		RMC	RMC	1.27	1.27				
29		IA1	IA1	0.61	0.61				
30		PA1	PA1	0.46	0.46				

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						DISALLOW TRANSFER SUPPORT PROVIDED	DISALLOW SPECIAL TREATMENTS, PROCEDURES	DISALLOW OCCUPATION THERAPY	DISALLOW PHYSICAL THERAPY
31	[REDACTED]	RHC	RHC	1.40	1.40				
32	[REDACTED]	RMB	RMB	1.22	1.22				
33	[REDACTED]	RMC	PD1	1.27	0.72			1	
34	[REDACTED]	RMC	RMC	1.27	1.27				
35	[REDACTED]	PD1	PD1	0.72	0.72				
36	[REDACTED]	RMC	RMC	1.27	1.27				
TOTALS						<u>1</u>	<u>1</u>	<u>2</u>	<u>1</u>

**OFFICE OF THE MEDICAID INSPECTOR GENERAL
PORT CHESTER NURSING AND REHABILITATION CENTRE
AUDIT #13-4886
MDS DETAILED FINDINGS**

MDS FINDINGS**SAMPLE SELECTION****Functional Status-ADL Self-Performance and Support**

If the provider is unable to produce the supporting documentation for the patient, the service will be disallowed and the Case Mix will be adjusted. A repayment schedule will be developed.

Documentation must indicate an assessment was done to evaluate the need for assistance with activities of daily living (ADL's), altered gait and balance, and decreased range of motion (ROM). MDS 3.0 manual guidelines will be followed when examining the medical records.

*42 CFR §483.20 (b) (xvii)
MDS Manual 3.0 G0100-0900*

Transfer Support Provided

In 1 instance, documentation did not support resident was a 2+ person physical help at least once. 17

Special Treatments, Procedures, and Programs

If the provider is unable to produce the supporting documentation for the patient, the service will be disallowed and the Case Mix will be adjusted. A repayment schedule will be developed.

The intent of the items in this section is to identify any special treatments, procedures, and programs that the resident received during the specific time periods. MDS 3.0 manual guidelines will be followed when examining the medical records.

*42 CFR §483.20 (b) (xv)
MDS 3.0 Manual O0100-0300, O0600-0700*

In 1 instance, documentation did not support the number of days with MD exams during the look back period. 4

In 1 instance, documentation did not support the number of days with MD orders during the look back period. 4

Skilled Therapy

If the provider is unable to produce the supporting documentation for the patient, the service will be disallowed and the Case Mix will be adjusted. A repayment schedule will be developed.

The qualified therapist, in conjunction with the physician and nursing staff, is responsible for determining the necessity for and the frequency and duration of the therapy provided to residents. Rehabilitation (i.e., via Speech-Language Services, and Occupational and Physical Therapies) and respiratory, psychological, and recreational therapy helps the residents to attain or maintain their highest level of well-being and improve their quality of life. MDS 3.0 manual guidelines will be followed when reviewing the documentation provided by the facility.

42 CFR §483.20 (b) (xvii)
MDS 3.0 Manual O0400-0500

Occupational Therapy

In 1 instance, documentation did not support an order for therapy. 11

In 1 instance, documentation did not support medical necessity for therapy and/or therapy was not reasonable for resident condition. 11

In 1 instance, documentation did not support resident received occupational therapy during the 7 day look back. 7

Physical Therapy

In 1 instance, documentation did not support medical necessity for therapy and/or therapy was not reasonable for resident condition. 33

RUGS-II Classifications Overturned

In 5 instances, the RUG classifications were 4, 7, 11, 17, 33 overturned.

10 NYCRR §86-2.10, Volume A-2